



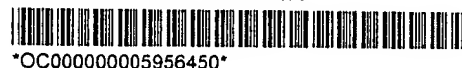
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,446	09/08/2000	David E. Edgren	ARC 2762C1

CONFIRMATION NO. 1540

## FORMALITIES LETTER



\*OC000000005956450\*

Vandana Date  
Alza Corporation  
P O Box 7210  
Mountain View, CA 94043

Date Mailed: 04/11/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 11/27/2000 to the Notice to File Missing Parts (Notice) mailed 01/19/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/23/2001 SZEWDIE1 00000098 011173 09657446

01 FC:105 130.00 CH



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/657,446	09/08/2000	David E. Edgren	ARC 2762C1

CONFIRMATION NO. 1540

## FORMALITIES LETTER



\*OC000000006038012\*

Vandana Date  
Alza Corporation  
P O Box 7210  
Mountain View, CA 94043

Date Mailed: 05/03/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*


The U.S. Patent and Trademark Office has received your reply on 04/23/2001 to the Notice to File Missing Parts (Notice) mailed 04/11/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

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A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Edgren, et al.

Docket No.

ARC 2762 C1 ✓

Serial No.

09/657,446

Filing Date

September 8, 2000

Examiner

Not Assigned

Group Art Unit

Not Assigned

Invention:

EXTENDED RELEASE DOSAGE FORM

MAY 17 2001



I hereby certify that the following correspondence:

Revocation and New Power of Attorney, 2 pp; Copy of Notice of Recordation, 2 pp; Copy of Assignment, 1 p; Response to Notice of Incomplete Reply (Nonprovisional), 3 pp; Copy of Notice of Incomplete Reply, 1 p; Copy of Exhibits 1-7; and postcard acknowledgment.

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

May 17, 2001

(Date)

Henrietta Votaw

(Typed or Printed Name of Person Mailing Correspondence)

*Henrietta Votaw*

(Signature of Person Mailing Correspondence)

EL 523 935 483 US

("Express Mail" Mailing Label Number)



Applicant's Docket No. ARC 2762C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edgren, David E.; Wong, Patrick S.-L.; Bhatti, Gurdish K.; Li, Shu; and Skluzacek, Robert R.

Application No.: 09/657,446

Group No.: None

Filed: September 8, 2000

Examiner: Unknown

For: Extended Release Dosage Form

Box Missing Part

Assistant Commissioner for Patents

Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice of Incomplete Reply (Nonprovisional) mailed April 11, 2001.

A copy of the Notice of Incomplete Reply (Nonprovisional) is enclosed.

DECLARATION OR OATH

II. The balance of the fee for late filing of the Declaration is enclosed. The signed Declaration was mailed November 20, 2000.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: 4/20/2001

Katrina M. Ghafghaichi  
Signature

Katrina M. Ghafghaichi  
(type or print name of person certifying)

## COMPLETION FEES

### III.

#### 1. Surcharge Fees

Late payment of filing fee  
and/or late filing of original  
declaration or oath

(37 C.F.R. Section 1.16(e))

\$130.00

Total Completion Fees      \$130.00

## EXTENSION OF TIME

IV. The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant petitions for an extension of time, the other than small entity fee for which is set out in 37 C.F.R. Section 1.17(a)(4), for four months.

Fee                      \$1,390.00

If an additional extension of time is required, please consider this a petition therefor.

## TOTAL FEE DUE

V. The total fee due is:

Completion fees	\$ 130.00
Extension fee (if any)	\$1,390.00
Total Fee Due	\$1,520.00

## PAYMENT OF FEES

VI. Charge Account No. 01-1173 in the amount of \$1,520.00

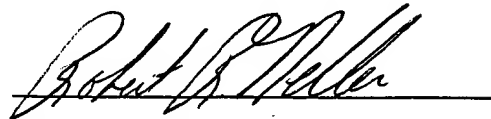
A duplicate of this request is attached.

Please charge Account No. 01-1173 for any fees that may be due by this paper.

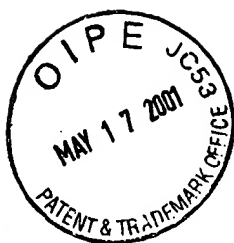
**AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**VII.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper.

Date: April 20, 2001



Robert R. Neller  
Registration No. 46,950  
ALZA Corporation  
1900 Charleston Road M10-3  
P.O. Box 7210  
Mountain View, CA 94039-7210  
650-564-5171



Box: \_\_\_\_\_ ARC No. 2762C1  
Director of the USPTO  
Washington, D. C. 20231  
Date Mailed: 4/20/2001  
Applicant(s): Edgren et al.  
Serial No.: 09/657,446 Filing Date: Sept. 8, 2000  
Examiner: Unknown Group Art Unit: Unknown

Documents received in this office:

Completion of Filing Requirements - NonProvisional App. - 3 pp.  
(in duplicate)

Associate Power of Attorney - 1 p.

Certificate of Mailing under 37 C.F.R. section 1.8(a) - 1 p.

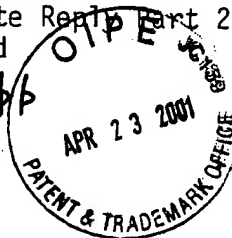
Copy Notice of Incomplete Reply Part 2 - 1 p.

Acknowledgement Postcard

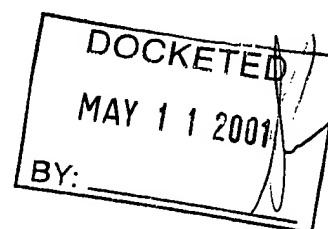
*Extension of time 26p*

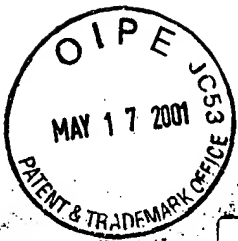
*Dec of Oath*

Attorney RRN / KMG



Director of the USPTO

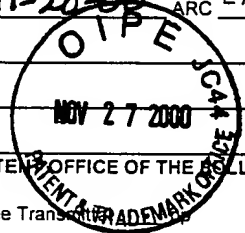




BOX: ~~XXXXXX~~ First Class Mail  
Assistant Commissioner for Patents  
Washington, D.C. 20231  
Date Mailed: 11-20-00 ARC 2762C1

Applicant(s): Edgren et al

Title Extended Release Dosage Form



PLEASE STAMP AND CONFIRM RECEIPT IN THE U.S. PATENT OFFICE OF THE FOLLOWING:

- ☐ Patent Application Transmittal (In Duplicate) \_\_\_\_\_ pp; ☐ Fee Transmittal \_\_\_\_\_ pp;  
☐ Specification and Abstract \_\_\_\_\_ pp; ☐ Claims No. \_\_\_\_\_ pp; ☐ Drawings \_\_\_\_\_ sheets;  
☒ Combined Declaration/Power of Attorney (executed) (~~unexecuted~~) \_\_\_\_\_ pp; ☐ Recordation  
Transmittal 1 pp; ☒ Assignment 1 pp; ☐ Information Disclosure Statement \_\_\_\_\_ pp;  
☐ Information Disclosure Citation \_\_\_\_\_ pp; ☐ Cited References

☒ Other: Certificate of Mailing by First Class  
Attorney's Initials VD/LLB Copy of Notice to File  
Missing Parts

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BY: \_\_\_\_\_

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